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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Andrew G.C. Frazier et al.

Group Art Unit: 3761

Serial No. : 10/033,371

Filed : October 19, 2001

For : ADJUSTABLE LEFT ATRIAL
APPENDAGE OCCLUSION DEVICE

Examiner : Unknown

I hereby certify that this correspondence is being
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Assistant Commissioner for Patents Washington,
D.C. 20231, on

June 26, 2002

(Date)

Gérard von Hoffmann, Reg. No. 33,043

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

United States Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

Dear Sir:

In accordance with the duty of disclosure set forth in 37 C.F.R. 1.56, and in accordance with the provisions of 37 C.F.R. 1.96-1.98, Applicant requests that the enclosed document listed in the accompanying Form PTO-1449 be considered in the above-captioned application. These documents have been previously submitted by the Applicant and/or the Examiner in a related application (Serial No. 09/435,562 filed November 8, 1999), and additional copies are therefore not enclosed herewith in accordance with the provisions of 37 C.F.R. 1.98(d).

Identification herein is not an admission that any of the foregoing are prior art to the above captioned application.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this

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Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR

Dated: June 26, 2002

By: Gerard von Hoffmann

Gerard von Hoffmann

Registration No. 33,043

Attorney of Record

620 Newport Center Drive

Sixteenth Floor

Newport Beach, CA 92660

(949) 760-0404

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